

Information Disclosure Statement  
 USSN 09/728,827  
 December 15, 2005  
 Page 3



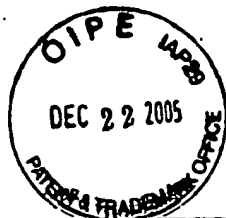
Form PTO-1449 (Modified) Page 1 of 3	ATTY DOCKET NO. B-4050CONTPCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
DGC	9/979,902		Proudler, et al.			11/27/2001
DGC	9/979,903		Proudler, et al.			11/27/2001
DGC	10/080,476		Proudler, et al.			2/22/2002
DGC	10/080,477		Brown, et al.			2/15/2002
DGC	10/080,478		Pearson, et al.			2/22/2002
DGC	10/080,479		Pearson, et al.			2/22/2002
DGC	10/194,831		Chen, et al.			7/11/2002
DGC	10/208,718		Chen, et al.			7/29/2002
DGC	10/240,138		Choo			9/26/2002
DGC	2001/0037450 A1	11/2001	Metlitski, et al.	713	152	
DGC	2002/0184520 A1	12/2002	Bush, et al.	713	200	
DGC	2002/0184486 A1	12/2002	Kerschenbaum, et al.	713	150	
DGC	2004/0045019 A1	3/2004	Bracha, et al.	719	332	
DGC	2004/0073617	4/2004	Milliken, et al.	709	206	
DGC	2004/0148514 A1	7/2004	Fee, et al.	713	200	
DGC	2005/0256799	11/2005	Warsaw, et al.	705	37	
DGC	4,799,156	1/1989	Shavit, et al.	705	26	
DGC	4,926,476	5/1990	Covey	713	164	
DGC	5,144,660	9/1992	Rose	726	24	
DGC	5,440,723	8/1995	Arnold, et al.	395	181	
DGC	5,473,692	12/1995	Davis	380	25	
DGC	5,504,814 A	4/1996	Miyahara	713	164	
DGC	5,530,758 A	6/1996	Marino, et al.	713	150	
DGC	5,680,547 A	10/1997	Chang	395	200.1	
DGC	5,841,869 A	11/1998	Merkling, et al.	713	164	

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449 (Modified) Page 2 of 3	ATTY DOCKET NO. B-4050CONT PCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
DGC	6,012,080	1/2000	Ozden, et al.	718	102	
DGC	6,081,894	6/2000	Mann	713	188	
DGC	6,327,652 B1	12/2001	England, et al.	713	2	
DGC	6,334,118	12/2001	Benson	713	167	
DGC	6,367,012 B1	4/2002	Atkinson, et al.	713	176	
DGC	6,393,412	5/2002	Deep	705	400	
DGC	6,477,702 B1	11/2002	Yellin, et al.	717	126	
DGC	6,513,156 B2	1/2003	Bak, et al.	717	151	
DGC	6,609,248 B1	8/2003	Srivastava, et al.	717	147	
DGC	6,671,716	12/2003	Diedrichsen, et al.	709	203	
DGC	6,732,276 B1	5/2004	Cofler, et al.	713	200	
DGC	6,757,830	6/2004	Tarbotton, et al.	713	188	
DGC	6,775,779 B1	8/2004	England, et al.	713	200	
DGC	6,931,545	8/2005	Ta, et al.	713	156	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
DGC	1 056 014 A1	8/2000	EP			
DGC	0 465 016	12/1998	EP			
DGC	0 580 350 A1	1/1994	EP			
DGC	2 336 918 A	11/1999	GB			
DGC	0 421 409 A2	4/1991	EP			
DGC	2 353 885 A1	3/2001	GB			
DGC	94/11967 A1	5/1994	WO			
DGC	97/29416 A2	8/1997	WO			

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449 (Modified) Page 3 of 3	ATTY DOCKET NO. B-4050CONT PCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudlar, et al.	
	FILING DATE November 28, 2000	GROUP 2176

**FOREIGN PATENT DOCUMENTS**

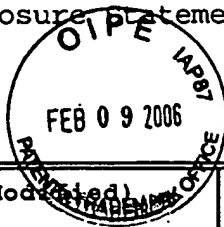
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
DGC	98/26529	6/1998	WO			
DGC	98/40809 A2	9/1998	WO			
DGC	00/19324 A1	4/2000	WO			
	<del>00/31644</del>	<del>6/2000</del>	<del>WO</del>			
DGC	2 361 153 A	10/2001	GB			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

DGC	Barkley, J., et al., "Managing Role/Permission Relationships Using Object Access Types," ACM, pp.73-80, July 1998, retrieved 06/25/2005	
DGC	Grimm, R., et al., "Separating Access Control Policy, Enforcement, and Functionality in Extensible Systems," ACM pp. 36-70, Feb. 2001, retrieved 06/25/2005	
DGC	Jaeger, T., et al., "Requirements of Role-Based Access Control for Collaborative Systems," ACM, pp.53-64, December 1996, retrieved 06/25/2005	
DGC	Naor, M., et al., "Secure and Efficient Metering," Internet: < <a href="http://citeseer.nj.com/naor98secure.html">http://citeseer.nj.com/naor98secure.html</a> > Sections 1-1.3 (1998)	
DGC	P.C Magazine Online; The 1999 Utility Guide: Desktop Antivirus; Norton Antivirus 5.0 DeLux, Internet	
DGC	The Trusted Computing Platform Alliance, "Building Foundation of Trust in the PC," 9 pages, located at Internet address < <a href="http://www.trustedpc.org/home/home.html">www.trustedpc.org/home/home.html</a> > (January 2000)	
DGC	Zhang, N.X., et al., "Secure Code Distribution," pp.76-79, 1997 IEEE, retrieved 06/25/2005	

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449 (Modified) Page 1 of 1	ATTY DOCKET NO. B-4050CONTPCT 618384-8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
DGC	2003/0191957 A1	10/2003	Hypponen, et al.	713	200	
DGC	2003/0196110	10/2003	Lampson, et al.	713	200	
DGC	2002/0069354 A1	6/2002	Fallon, et al.	713	2	
DGC	5,029,206	7/1991	Marino, et al.	713	164	
DGC	5,922,074 A	7/1999	Richard, et al.	726	21	
DGC	6,125,114	9/2000	Blanc, et al.	370	389	
DGC	6,327,652	12/2001	England, et al.	713	2	
DGC	6,505,300 B2	1/2003	Chan, et al.	713	164	
DGC	6,701,440 B1	3/2004	Kim, et al.	726	24	
DGC	6,757,824 B1	6/2004	England	713	156	
DGC	6,892,307 B1	5/2005	Wood, et al.	726	8	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

DGC	Ford, B., et al., "Microkernels Meet Recursive Virtual Machines", Operating Systems Review, ACM, Vol. 30, No. Special Issue, pp. 137-151 (21 December 1996)	
DGC	Goldberg, R.P., "Survey of Virtual Machine Research", Computer, IEEE Service Center, Vol.7, No. 6, pp. 34-45 (June 1974)	
DGC	Popek, G. J., "Formal Requirements for Virtualizable Third Generation Architectures", Communications of the Association for Computing Machinery, ACM, Vol. 17, No. 7, pp. 412-421 (July 1974)	

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

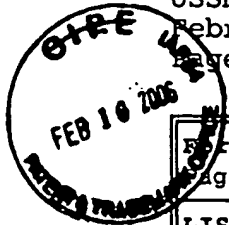
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement

USSN 09/728,827

February 13, 2006

Page 3



Form PTO-1449 (Modified) Page 1 of 1	ATTY DOCKET NO. B-4050CONTPCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
DGC	6,681,304	1/2004	Vogt, et al.	711	164	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--	--

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement  
 USSN 09/728,827  
 February 27, 2006  
 Page 3



Form PTO-1449 (Modified) Page 1 of 1	ATTY DOCKET NO. B-4050CONT PCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudlar, et al.	
	FILING DATE November 28, 2000	GROUP 2176

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
DGC	5,692,124	11/1997	Holden, et al.	726	2	
DGC	5,787,175	7/1998	Carter	713	165	
DGC	6,751,680	6/2004	Langerman, et al.	710	3	
DGC	5,845,068	12/1998	Winiger	726	3	
DGC	6,965,816	11/2005	Walker	701	16	

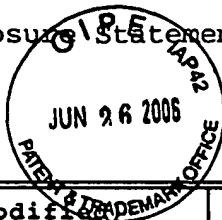
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

--	--	--

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement  
 USSN 09/728,827  
 June 20, 2006  
 Page 3



Form PTO-1449 (Modified) Page 1 of 1	ATTY DOCKET NO. B-4050CONTPCT 618384- 8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(a) DATE IF APPROPRIATE
DGC	2002/0042874	4/2002	Arora	712	229	
DGC	6,948,069	9/2005	Teppler	713	178	
DGC	5,889,989 A	3/1999	Robertazzi, et al.	718	105	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

DGC	EDS Timeline, The 1960's, at EDS.com	
-----	--------------------------------------	--

EXAMINER	DATE CONSIDERED
/David Garcia Cervetti/	10/05/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.